



Maye AF/2876

PTO/SB/21 (08-03)

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/842,533
	Filing Date	April 25, 2001
	First Named Inventor	Steven WINNETT
	Art Unit	2876
	Examiner Name	Ahshik KIM
Total Number of Pages in This Submission	Attorney Docket Number	74120-301400 (Formerly 12128-128001)

ENCLOSURES (check all that apply)				
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> <b>1. Return Postcard; and</b> <b>2. US cited references (13).</b>		
<table border="1"><tr><td>Remarks</td><td><p>The Information Disclosure Statement submitted herewith is being filed after the period specified by 1.97(b), but before a final action or notice of allowance as provided under 1.97(c). Therefore, applicant has included a fee under 1.17(p). The Examiner is requested to consider the references noted on the enclosed Information Disclosure Statement during examination of the above-identified patent application. These references are submitted for the Examiner's consideration and are submitted pursuant to the duty of disclosure under 37 CFR 1.56. In submitting these references, no representation is made or implied that the references are or are not material to the examination of the application. Copies of the references are provided.</p></td></tr></table>			Remarks	<p>The Information Disclosure Statement submitted herewith is being filed after the period specified by 1.97(b), but before a final action or notice of allowance as provided under 1.97(c). Therefore, applicant has included a fee under 1.17(p). The Examiner is requested to consider the references noted on the enclosed Information Disclosure Statement during examination of the above-identified patent application. These references are submitted for the Examiner's consideration and are submitted pursuant to the duty of disclosure under 37 CFR 1.56. In submitting these references, no representation is made or implied that the references are or are not material to the examination of the application. Copies of the references are provided.</p>
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Douglas M. Hamilton, Registration No. 47,629
Signature	
Date	3/4/2004

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Cynthia M. Sullivan	Date	March 4, 2004
Signature			



# FEE TRANSMITTAL for FY 2004

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$) 606.00

## Complete if Known

Application Number 09/842,533  
Filing Date April 25, 2001  
First Named Inventor Steven WINNETT  
Examiner Name Ahshik KIM  
Group / Art Unit 2876  
Attorney Docket No. 74120-301400 (Formerly 12128-128001)

## METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money ☐ Other ☐ None  
Order

☒ Deposit Account:

Deposit  
Account  
Number

06-0029

Deposit  
Account  
Name

Faegre & Benson LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee  
to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>					(\$0)

### 2. EXTRA CLAIM FEES

Total Claims	28	-20 **	=	8	X	18	=	144
Independent Claims	5	-3 **	=	2	X	86	=	172
Multiple Dependent					X		=	0

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2)** (\$316.00)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	110
1252	420	2252	210	Extension for reply within second month	09842533
1253	950	2253	475	Extension for reply within third month	09842533
1254	1,480	2254	740	Extension for reply within fourth month	09842533
1255	2,010	2255	1,005	Extension for reply within fifth month	09842533
1401	330	2401	165	Notice of Appeal	09842533
1402	330	2402	165	Filing a brief in support of an appeal	09842533
1403	290	2403	145	Request for oral hearing	09842533
1451	1,510	1451	1,510	Petition to institute a public use proceeding	09842533
1452	110	2452	55	Petition to revive - unavoidable	09842533
1453	1,330	2453	665	Petition to revive - unintentional	09842533
1501	1,330	2501	665	Utility issue fee (or reissue)	09842533
1502	480	2502	240	Design issue fee	09842533
1503	640	2503	320	Plant issue fee	09842533
1460	130	1460	130	Petitions to the Commissioner	09842533
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	09842533
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	09842533
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	09842533
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	09842533
1801	770	2801	385	Request for Continued Examination (RCE)	09842533
1802	900	1802	900	Request for expedited examination of a design application	09842533

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$290.00)

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type) Douglas M. Hamilton Registration No. Attorney/Agent 47,629 Telephone (303) 607-3500  
Signature Date 3/4/2004

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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